



01-14-02

See for \$  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Brandin  
EXAMINER:  
SERIAL NO.: 09/977,266  
GROUP:  
FILED: 10/12/01  
CASE NO.: NEO-0107  
ENTITLED: System and Method for Implementing Behavioral Operatoins

Law Office of Dale B. Halling  
24 S. Weber St., Suite 311  
Colorado Springs, CO 80903  
January 7, 2002

MISSING PARTS TRANSMITTAL

Honorable Director of  
Patents and Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing in the above-captioned application is:

- 1) Check totaling \$538.00, Filing Fee \$433.00, late fee \$65.00, Assignment fee \$40.00.
- 2) Declaration
- 3) Assignment
- 4) Formal Drawings

Respectfully submitted,

BY: Dale B. Halling  
Dale B. Halling  
Agent/ Attorney for Applicants  
Phone: (719) 447-1990 FAX No.: (719) 447-9815

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231,

☐ 37 CFR 1.8(a) as first class mail with sufficient postage

☒ 37 CFR 1.10 "Express Mail Post Office to Addressee"  
Mailing Label No. EL684904361US

Signature of Mailer: Laura P. Hansen Date: 1/9/02  
Laura P Hansen



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/977,266         | 10/12/2001          | Brandin               | NEO-0107               |

CONFIRMATION NO. 2263

## FORMALITIES LETTER



\*OC000000007082178\*

Law Offices of Dale B. Halling  
Suite 311  
24 South Weber St.  
Colorado Springs, CO 80903

Date Mailed: 11/16/2001

01/17/2002 HNDQR1 00000096 09977266  
 01 FC:201 370.00 DP  
 02 FC:205 65.00 DP  
 03 FC:203 63.00 DP

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$63.
  - \$63 for 7 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 498.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be in dark ink (not pencil), except where color drawings or photographs are permitted.

01/17/2002 HNDQR1 00000096 09977266  
 01 FC:201 370.00 DP  
 02 FC:205 65.00 DP  
 03 FC:203 63.00 DP

*A copy of this notice MUST be returned with the reply.*

*Clud*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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